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File

15 November 1961

C/RB/SRS

FELFE, Heinz - FGD and SSD #117401 [ ]  
CLEMENS, Hans Max - NS-13190 [ ]

1. On 13 November 1961 the undersigned and Mr. [ ], CI/SIO, were briefed concerning the above Subjects by Mr. [ ] CI Staff, and [ ], who was the previous case officer in the matter, later was transferred to H3, but now is temporarily reassigned to H3 to work on the matter.
2. It should be noted that the following is prepared from the notes taken by the undersigned and there may later be slight differences from formal reports on the matter. However, the following appears to be the basic facts in the current interest in Subjects.
3. Heinz Felfe, aka Heinrich Sanders Felfe, born 18 March 1913, Dresden, Germany, is referred to in CIA communications as Friesen. Hans Max Clemens, referred to in CIA communications as Hoffman, was born 9 February 1902, Dresden, Germany. Both of the above individuals are BND officers.
4. In approximately June 1957/BROCK, then in place, furnished by mail a report to the effect that the delegation of BND officers who had visited Washington, D. C. in 1956, contained two KVO agents. The above matter was given consideration by the CI Staff but was not furnished to Gehlen until January 1961 when it was given by [ ] Chief of Station, Germany, to Gehlen on a restricted basis. Gehlen named as one of the possible candidates for the alleged two KVO agents Felfe, who in communications concerning this matter has the code name of UJROZE.
5. After certain checks were made the investigation centered on Felfe and the BND set up a small investigative unit. Prior to January 1961 Felfe had been considered by the CI Staff as a logical candidate for one of the alleged two KVO agents.
6. Surveillance and tech coverage in this matter has, in general, been limited to Felfe but checks have been made on other BND officers. CIA has provided traces to the BND on various individuals and assisted in the surveillance when the individuals of interest were abroad as well as on certain occasions in the Munich area. This assistance included aid in a surreptitious entry into the Felfe residence.

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7. According to [ ] Felfe since 1955 has been in BND Headquarters, Munich, involved in Soviet operations and with the position being compared to the Agency position of Chief/CE/CR, but with perhaps a wider range since the BND position has certain security responsibilities.

8. The CIA and the BND have engaged in areas of certain joint operations and in the areas of those operations there has been an exchange of finished intelligence. [ ] also advised that there has been considerable oral liaison in Headquarters, BND, between CIA and the BND and, occasionally, in CIA facilities. Joint operations have included operations against Soviet installations and Soviet personalities in Cologne and Bonn. According to [ ] in approximately 1959 to date there have been a number of joint operations of an audio variety against Soviet installations and Soviet personnel. In a number of these Felfe has acted as BND coordinator. According to [ ] the individual best informed concerning the preceding joint operations is [ ] CIA employee involved in many of the operations. According to [ ] the only joint audio operations in the last couple of years which did not "abort" was a unilateral operation of which Felfe did not become aware.

9. According to [ ] Felfe was also aware of a number of DA cases, including [ ] also known as [ ]

10. [ ] advised that in approximately 1958 Felfe started requesting more information on CIA activities against Earlhorst and was briefed by the Berlin Base in Munich concerning some of the Berlin Base activities of this type. Reportedly, Felfe showed curiosity at the briefing concerning matters outside the briefing material and showed great interest in information concerning audio and counter-audio measures.

11. As indicated previously, investigation has been concentrated on Felfe and naturally included the associates of Felfe. This investigation disclosed that he had certain close associates both inside and outside the BND who were former wartime associates of Felfe who had been RCHA. Investigation disclosed that one of his closest associates was Hans Max Clemens, who now has the code name of UJERUM. Clemens is employed in the Index Unit at the Cologne Office of the BND but reportedly has no direct liaison with CIA. This office was set up by the BND to assist in work against Soviet operational targets and has been in operation since 1955. According to [ ] originally the office had certain direct liaison with this Agency for approximately a year but since that time has not, to his knowledge, had direct liaison.

12. Felfe and Clemens worked closely in Berlin operations of the BND with another BND officer from Cologne who has the code name of

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UJERUS. It is the surprise of the CI Staff that these Berlin operations of the above individuals were primarily a pretext for travel to Berlin to meet a Soviet case officer. However, surveillance of the individuals in Berlin prior to 13 August (when wall between East and West Berlin was put up) did not disclose the identity of any Soviet contact. UJERUS, according to [ ] is a staff BND officer who for a number of years has been involved in a RA case, UJERUS. This is the case of a German who was a Russian prisoner until 1956, came out, and later committed suicide. UJERUS was involved in the operations prior to the suicide and allegedly was targeted by the KGB. Certain contacts were made by UJERUS with the KGB and UJERUS became a double agent. During the Olympic Games UJERUS and Felke were in Bonn, and now reportedly met their Soviet case officer, or a Soviet case officer.

13. Telephone conversations between Clemens and Felke disclosed allusions to matters which were not made clear during the conversation, leading to the suspicion of an OWL link between Clemens in Cologne and Felke in Munich. This coverage on Clemens in Cologne was arranged through the British, who originally were not given the full story of the investigation being conducted but have now been briefed concerning the entire matter. Tapes of the above telephone conversations were made available to the BND by the British, and investigation by the BND led to the discovery of an OWL link once a week on Saturday between Clemens and Felke. These involved the use of a "one-time pad" and the OWL link disclosed that Felke and Clemens were having difficulty because they were unable to meet their Soviet case officer in Berlin due to the "wall."

14. Approximately a week ago, on a strictly legal basis, Clemens was arrested in Cologne and Felke was arrested in Munich. Clemens broke immediately after his arrest and has reportedly talked extensively. Felke also reportedly is talking about his own activities, and UJERUS has been questioned but not arrested since the extent of his Soviet espionage activities, if any, has not been established. In addition to Felke and Clemens, one Evan Max Tibel has also been arrested, and Tibel allegedly worked as a courier for Felke to deliver material just over the line in East Berlin. Tibel is also an old CS friend of Felke but claims that he did not knowingly act as a courier for Felke.

15. [ ] advised that there have been several conversations between Gehlen and Mr. Dallas concerning the matter and that Mr. McCone has also been briefed since he was in Germany when the case broke.

16. According to [ ] Felke has admitted working for the KGB since 1949 and has stated that he recruited Clemens in 1950. Clemens claims that his motivation was that he was a good Socialist who was

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offended by the American treatment of him after the end of World War II. By way of interest, available information indicates that Clemens was interrogated in Italy by Shergold, of MI-6, who conducted the interrogation of George Blake. Also of interest is the fact that both Felfe and Clemens entered SMD employment in approximately 1949, that Felfe was higher in the SMD organization than Clemens, but that Clemens was higher than Felfe in the RCHA organization.

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